

**Declaration, Power of Attorney, and Petition**

555-P-6

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled TWO POLE, SHADED POLE, SUBFRACTIONAL HORSEPOWER  
INDUCTION MOTOR

..... the specification of which  
(check one) ☒ is attached hereto. ☐ was filed on ..... as  
Application Serial No. .... and was amended on ..... (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

**Prior Foreign Application(s)**

			Priority claimed	
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
<u>N/A</u>				
(Number)	(Country)	Day month year filed		
<u>N/A</u>				
(Number)	(Country)	Day month year filed		
<u>N/A</u>				
(Number)	(Country)	Day month year filed		

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

	(Application Serial No.)	(Filing date)	(Status)	(patented, pending, abandoned)
<u>N/A</u>				
(Application Serial No.)	(Filing date)	(Status)	(patented, pending, abandoned)	
<u>N/A</u>				
(Application Serial No.)	(Filing date)	(Status)	(patented, pending, abandoned)	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint

Tod R. Nissle, Esq., Reg. No. 29,241 /  
TOD R. NISSELE, P.C.  
7150 East Camelback Road  
Suite No. 245  
Scottsdale, Arizona 85251

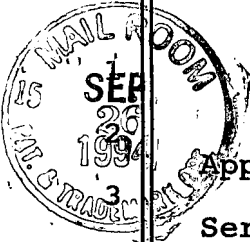
my attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Wherefore I pray that Letters Patent be granted to me for the invention or discovery described and claimed in the foregoing specification and claims, and I hereby subscribe my name to the foregoing specification and claims, declaration, power of attorney, and this petition.

Full name of sole or first inventor JOHN B. HANNEKEN 1-00  
 Inventor's signature John B. Hanneken Date 9-23-94  
 Residence 1251 East Laguna Drive, Tempe, Arizona 85282  
 Citizenship United States of America  
 Post Office Address ..... (same as above.)

Full name of second joint inventor, if any .....  
 Second Inventor's signature ..... Date .....  
 Residence .....  
 Citizenship .....  
 Post Office Address .....

(Supply similar information and signature for third and subsequent joint inventors.)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : JOHN B. HANNEKEN )  
Serial No. : )  
Filed : Concurrently herewith )  
For : TWO POLE, SHADED POLE, )  
SUBFRACTIONAL-HORSEPOWER )  
INDUCTION MOTOR )

DECLARATION VERIFYING SMALL ENTITY STATUS  
UNDER 27 C.F.R. 1.9 (f) AND 1.27 (b)

Hon. Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

As a named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9 (c) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the above-identified patent.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign,

I hereby certify that this correspondence is being deposited with the United States Postal Service as  
\* First Class Mail in an envelope addressed to:  
Commissioner of Patents and Trademarks,  
Washington, D.C., 20231 on September 26, 1994

TOD R. NISSELE, Reg. No. 29,241 09/26/94  
DATE

\* U. S. EXPRESS MAIL Label No. EF734084352US

1 grant, convey or license any rights in the invention to any  
2 person who could not be classified as an independent inventor  
3 under 37 CFR 1.9 (c) if that person had made the invention, or  
4 to any concern which would not qualify as a small business  
5 concern under 37 CFR 1.9 (d) or a nonprofit organization under  
6 37 CFR 1.9 (e). Any person, concern or organization to which I  
7 have assigned, granted, conveyed or licensed or am under an  
8 obligation under contract or law to assign, grant, convey or  
9 license any rights in the invention is identified below:

10  
11 Full Name: DIAL MANUFACTURING, INC.

12 Address: 25 South 51st Avenue

13 Phoenix, Arizona 85043

14 ☐ Individual ☒ Small Business ☐ Non profit Organization

15  
16 Full Name: N/A

17 Address: \_\_\_\_\_

18  
19 ☐ Individual ☐ Small Business ☐ Non profit Organization

20  
21 I acknowledge the duty to file, in this patent,  
22 notification of any change in status resulting in loss of  
23 entitlement to small entity status prior to paying, or at the  
24 time or paying, the earliest of the issue fee or any  
25 maintenance fee due after the date on which status as a small  
26 entity is not longer appropriate. [37 CFR 1.28 (b)]

1 I hereby declare that all statements made herein of  
2 my own knowledge are true and that all statements made on  
3 information and belief are believed to be true; and further  
4 that these statements were made with the knowledge that willful  
5 false statements or the like so made are punishable by fine or  
6 imprisonment, or both, under section 1001 to Title 18 of the  
7 United States code, and that such willful false statements may  
8 jeopardize the validity of the application, any patent issuing  
9 thereon, or any patent to which this verified statement is  
10 directed.

11  
12 Name of Inventor: JOHN B. HANNEKEN

13 Address: 1251 East Laguna Drive

14 Tempe, Arizona 85282

15 Signature: John B. Hanneken

16 Date: 9-23-94

17  
18 Name of Inventor: N/A

19 Address: \_\_\_\_\_

20  
21 Signature: \_\_\_\_\_

22 Date: \_\_\_\_\_

23  
24 TOD R. NISSLE, P.C.  
25 7150 East Camelback Road  
26 Suite No. 245  
27 Scottsdale, Arizona 85251  
28 (602) 970-8700

Attorney's Docket No. 555-P-6



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : JOHN B. HANNEKEN )

SERIAL NO. : )

FILED : Concurrently herewith )

FOR : TWO POLE, SHADED POLE, SUBFRACTIONAL-  
HORSEPOWER INDUCTION MOTOR )

DECLARATION BY BUSINESS CONCERN  
VERIFYING SMALL ENTITY STATUS  
UNDER 37 CFR 1.9(F) AND 1.27(B)

Hon. Commission of Patents  
and Trademarks  
Washington, D.C. 20231

Dear Sir:

I hereby declare that I am President of DIAL  
MANUFACTURING, INC. and am making this verified statement to  
support a claim by John B. Hanneken for purposes of paying  
reduced fees under Section 41 (a) and (b) of Title 35, United  
States Code, to the Patent and Trademark Office with regard to  
the above-identified application or patent.

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
\* First Class mail in an envelope addressed to:  
Commissioner of Patents and Trademarks,  
Washington, D.C. 20231, on September 26, 1994

TOD R. NISSELE, Reg. No. 29,241

09/26/94

Date

\* U. S. EXPRESS MAIL Label No. EF734084352US

1 I hereby declare that DIAL MANUFACTURING, INC., would  
2 qualify as a small business concern or nonprofit organization  
3 as defined in 37 CFR 1.9(d) and (e) for purposes of paying fees  
4 under Sections 41(a) and (b) of Title 35, United States Code.  
5

6 DIAL MANUFACTURING, INC., has not assigned, granted,  
7 conveyed or licensed and is under no obligation under contract  
8 or law to assign, grant convey, or license, any rights in the  
9 invention to any person who could not be classified as an  
10 independent inventor under 37 CFR 1.9(c) if that person had  
11 made the invention, or to any concern which would not qualify  
12 as a small business concern under 37 CFR 1.9(d) or a nonprofit  
13 organization under 37 CFR 1.9(e).  
14

15 Each person, concern or organization to which DIAL  
16 MANUFACTURING, INC., has assigned, granted, conveyed or  
17 licensed or is under an obligation under contract or law to  
18 assign, grant, convey or license any rights in the invention is  
19 identified below:  
20

21 Full Name: N/A

22 Address: \_\_\_\_\_  
23 \_\_\_\_\_

24 ☐ Individual ☐ Small Business ☐ Non profit Organization  
25  
26  
27  
28

1 Full Name: N/A

2 Address: \_\_\_\_\_

3  
4 [ ] Individual [ ] Small Business [ ] Non profit Organization

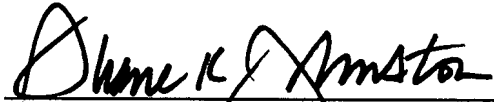
5  
6 DIAL MANUFACTURING, INC., acknowledges the duty to  
7 file, in this patent, notification of any change in status  
8 resulting in loss of entitlement to small entity status prior  
9 to paying, or at the time of paying, the earliest of the issue  
10 fee or any maintenance fee due after the date on which status  
11 as a small entity is no longer appropriate. [37 CFR 1.28(b)]

12  
13 I hereby declare that all statements made herein of  
14 my own knowledge are true and that all statements are made on  
15 information and belief are believed to be true; and further  
16 that these statements were made with the knowledge that willful  
17 false statements and the like so made are punishable by fine or  
18 imprisonment, or both, under section 1001 to Title 18 of the  
19 United States Code, and that such willful false statements may  
20 jeopardize the validity of the application, any patent issuing  
21 thereon, or any patent to which this verified statement is  
22 directed.

DIAL MANUFACTURING, INC.

9-23-94

DATE



By: Duane K. Johnston

Title: President

TOD R. NISSLE, P.C.  
7150 East Camelback Road  
Suite No. 245  
Scottsdale, Arizona 85251  
(602) 970-8700

Attorney's Docket No. 555-P-6